

|  |   |                           |
|--|---|---------------------------|
| <b>FORM PTO-1449A</b>                  | Attorney Docket:<br>4439-4041                       | Serial No.:<br>10/574,056 |
| <b>INFORMATION DISCLOSURE CITATION</b> | Applicant:<br>Kazuaki ISHIHARA and Hisashi YAMAMOTO |                           |
|  | Filing Date:<br>06/22/2006                          | Group Art Unit:<br>1754   |

## **U.S. PATENT / PUBLICATION DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

| Examiner  | Date Considered |
|---|-----------------|
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.<br>Draw line through citation if not in conformance and not considered.<br>Include copy of this form with next communication to Applicant. |                 |

|  |  |   |                           |
|--|--|---|---------------------------|
| <b>FORM PTO-1449B</b>                  |  | Attorney Docket:<br>4439-4041                       | Serial No.:<br>10/574,056 |
| <b>INFORMATION DISCLOSURE CITATION</b> |  | Applicant:<br>Kazuaki ISHIHARA and Hisashi YAMAMOTO |                           |
|  |  | Filing Date:<br>06/22/2006                          | Group Art Unit:<br>1754   |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|--------------------|-----------------------|---|
|                    | 1.                    | Communication with Supplementary European Search Report for Application Number EP 04 79 1941.   |
|                    | 2.                    | ISHIHARA, <i>et al.</i> , "Direct Ester Condensation From a 1:1 Mixture of Carboxylic Acids and Alcohols Catalyzed By Hafnium (IV) or Zirconium (IV) Salts", 2002, <i>Tetrahedron</i> , <b>58</b> : 8179-8188.  |
|                    | 3.                    | NAKAYAMA, <i>et al.</i> , "Water-Tolerant and Reusable Catalysts For Direct Ester Condensation Between Equimolar Amounts of Carboxylic Acids and Alcohols", 2004, <i>Adv. Synth. Catol.</i> , <b>346</b> : 1275-1279.   |
|                    | 4.                    | ISHIHARA, <i>et al.</i> , "A Green Method for the Selective Esterification of Primary Alcohols in the Presence of Secondary Alcohols or Aromatic Alcohols", 2001, <i>Synlett</i> , <b>No. 7</b> : 1117-1120.  |
|                    | 5.                    |   |
|                    | 6.                    |   |
|                    | 7.                    |   |
|                    | 8.                    |   |
|                    | 9.                    |   |
|                    | 10.                   |   |
|                    | 11.                   |   |
|                    | 12.                   |   |
|                    | 13.                   |   |
|                    | 14.                   |   |
|                    | 15.                   |   |
|                    | 16.                   |   |
|                    | 17.                   |   |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.